

**LIST OF APPROVED BROKER / DEALERS**  
**For Fiscal Year 2024**  
**June 20, 2023**

**PRIMARY DEALERS**

ASL Capital Markets  
Bank of Montreal, Chicago Branch  
Bank of Nova Scotia, New York Agency  
Barclays Capital Inc.  
BNP Paribas Securities Corp.  
BofA Securities, Inc.  
Cantor Fitzgerald & Co.  
Citigroup Global Markets Inc.  
Credit Suisse Securities (USA) LLC  
Daiwa Capital Markets America Inc.  
Deutsche Bank Securities Inc.  
Goldman, Sachs & Co. LLC  
HSBC Securities (USA) Inc.  
J.P. Morgan Securities LLC  
Jefferies LLC  
Mizuho Securities USA Inc.  
Morgan Stanley & Co. LLC  
NatWest Markets Securities Inc.  
Nomura Securities International, Inc.  
RBC Capital Markets, LLC  
Santander US Capital Markets\*  
Societe Generale, New York Branch  
TD Securities (USA) LLC  
UBS Securities LLC.  
Wells Fargo Securities

**NON-PRIMARY DEALERS**

BNY Mellon Capital Markets  
Fifth Third Securities  
FHN Financial  
Guggenheim Securities, LLC  
R.W. Baird & Co.  
Mitsubishi UFJ  
PNC Capital Markets  
Raymond James & Associates  
Stifel, Nicolaus & Company  
Truist Securities\*\*  
MBS Securities\*\*  
Stephens\*\*

\*Name change from Amherst Pierpont

\*\*New addition